

Community _____ State _____ CID _____

CC-530EHP Flood Protection

Compliance with Environmental and Historic Preservation Requirements

(see Section 531.b(4) in the *CRS Coordinator's Manual*)

NOTE: The self-certification of compliance with environmental and historic preservation requirements incorporated into this certification applies *ONLY* to projects implemented *AFTER* the effective date of the 2013 Coordinator's Manual.

Part A. Retrofitting Projects (TUE, TUD, TUW, TUS)

In the table below, list each retrofitted property for which credit is desired under Activity 530, indicate the type of project, check the box that identifies the source of project funding, and insert the project date. Add additional copies of this page (CC-530EHP-1) as needed for the number of properties. Then, complete pages CC-530EHP-2 and CC-530EHP-3 as appropriate, based on the project's funding source.

If any FEMA funding was included in the project, then the source of funding is "FEMA." FEMA funding includes FEMA Hazard Mitigation Assistance grants (under the Hazard Mitigation Grant Program or the Pre-disaster Mitigation, Flood Mitigation Assistance, Repetitive Flood Claims, or Severe Repetitive Loss programs) or FEMA Public Assistance funds. If no FEMA funding was used but any other federal funds were included (e.g., from the Corps of Engineers), then the funding source is "Other Federal Agency." If the project was funded only by state, local, and/or private funds, the source is "No Federal Funds." An NFIP claim payment, including funds provided under Increased Cost of Compliance coverage, is considered "No Federal Funds."

Property Address for Retrofitted Building	Type of Project	Source of Project Funding			Date Project Permitted or Initiated
	TUE=Elevation TUD=Dry floodproofing TUW=Wet floodproofing TUS=Sewer backup	FEMA Funding	Other Federal Funding	No Federal Funds	

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Part A. Retrofitting Projects (TUE, TUD, TUW, TUS) (cont.):

Project Name:
Project or Grant Number:
Project Description (or include as an attachment):

On each row of the table below, initial the box that applies to the project, signifying that the appropriate steps were taken (shaded areas are “not applicable”). Then sign at the end of the certification. More information on these programs can be found in Figure 500-5 in the *CRS Coordinator’s Manual*.

Federal Funding	No Federal Funding	Certification Statement for Retrofitting Projects
<input type="checkbox"/>	<input type="checkbox"/>	All properties marked as “FEMA Funded” on CC-530EHP-1 were included in the project description of the above FEMA-funded project.
<input type="checkbox"/>	<input type="checkbox"/>	State and local requirements: In addition to federal laws, implementing regulations, and executive orders, this project took into consideration the requirements of all state and local environmental and historic preservation laws, ordinances, and permits that applied to this type of project. Communication with the appropriate state agency and/or local government entity took place before project implementation. Any recommendations made by the agency or office were carried out.
<input type="checkbox"/>	<input type="checkbox"/>	For projects that affected buildings 50 years of age or older (National Historic Preservation Act): If any retrofitted structure affected by this project was 50 years of age or older at the time of the acquisition, communication with the State Historic Preservation Officer or Tribal Historic Preservation Officer (if on tribal land or reservation) took place to determine if the structure was either on or eligible for the National Register of Historic Places (historic property) and to resolve any adverse effect(s) to the historic property.
<input type="checkbox"/>	<input type="checkbox"/>	Protection of floodplains (E.O. 11988—Floodplain Management): Consideration was given to the project’s long- and short-term adverse impacts that are associated with the occupancy and modification of floodplains, and to avoiding direct and indirect support of floodplain development wherever there was a practicable alternative.
<input type="checkbox"/>	<input type="checkbox"/>	E.O. 11990—Protection of Wetlands: Consideration was given to the possible loss or degradation of wetlands associated with the construction of this project, as well as to the preservation and enhancement of the natural and beneficial values of wetlands.

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Federal Funding	No Federal Funding	Certification Statement for Retrofitting Projects (cont.)
		E.O. 12898—Environmental Justice in Minority and Low-income Populations: Consideration was given to the possible negative impacts associated with the implementation of this project on minority and low-income populations, and to avoiding those impacts where practicable.
		For projects in areas subject to the Coastal Barrier Resources Act: There was communication with the U.S. Fish and Wildlife Service regarding this project’s potential to jeopardize any ecologically sensitive coastal barrier resources. Any recommendations made by the Service were carried out.
		For projects in coastal communities: There was communication concerning this project with the state’s lead coastal zone management agency regarding the enforcement of the policies of the state’s coastal zone management program in carrying out federally funded or federally authorized construction activities. Any recommendations made by the agency were carried out.
I certify that the items initialed above are correct to the best of my knowledge		
Name (signed):		
Name (printed):		
Title:		Date:

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Community _____ State _____ CID _____

TUB—Barriers, Levees, Floodwalls

Project Name:
Project or Grant Number:
Project Description (or include as an attachment):

On each row of the table below, initial the box that applies to the TUB project, signifying that the appropriate steps were taken (shaded areas are “not applicable”). Then sign at the end of the certification. More information on these programs can be found in Figure 500-5 in the *CRS Coordinator’s Manual*.

Federal Funding	No Federal Funding	Certification Statement for Barriers, Levees, and Floodwalls (TUB)
		All properties marked as “FEMA Funded” on CC-530EHP-1 were included in the project description of the above FEMA-funded project.
		State and local requirements: In addition to federal laws, implementing regulations, and executive orders, this project took into consideration the requirements of all state and local environmental and historic preservation laws, ordinances, and permits that applied to this particular type of project. Communication with the appropriate state agency and/or local government entity took place before project implementation. Any recommendations made by the agency or office were carried out.
		For projects that affected buildings 50 years of age or older: (National Historic Preservation Act and Archaeological and Historic Preservation Act): (1) If any structure affected by this project was 50 years of age or older at the time of the project implementation, communication with the State Historic Preservation Officer or Tribal Historic Preservation Officer (if on tribal land or reservation) took place to determine if the structure was either on or eligible for the National Register of Historic Places (historic property) and to resolve any adverse effect(s) to the historic property. (2) The effects of the project on archaeological sites were considered.
		Protection of threatened and endangered species (Endangered Species Act): Consideration was given to the protection and preservation of threatened and/or endangered species (including plants and animals and their habitat) whose existence may have been threatened by the construction activities. Communication took place with the U.S. Fish and Wildlife Service (or the National Marine Fisheries Service if the project is in a coastal area) and the applicable state agencies for state-protected species and/or their habitat. Any recommendations made by the Services or state agencies were carried out.
		Discharge of dredge and fill materials (Clean Water Act, Section 404): Consideration was given to all permit requirements for discharging dredge and fill materials into waters of the United States, including wetlands, and communication with the U.S. Army Corps of Engineers took place. Any recommendations made by the Corps were carried out.

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Community _____ State _____ CID _____

Federal Funding	No Federal Funding	Certification Statement for Barriers, Levees, and Floodwalls (cont.)	
		Protection of floodplains (E.O. 11988—Floodplain Management): Consideration was given to the project’s long- and short-term adverse impacts that are associated with the occupancy and modification of floodplains, and to avoiding direct and indirect support of floodplain development wherever there was a practicable alternative.	
		E.O. 11990—Protection of Wetlands: Consideration was given to the possible loss or degradation of wetlands associated with the construction of this project, as well as to the preservation and enhancement of the natural and beneficial values of wetlands.	
		E.O. 12898—Environmental Justice in Minority and Low-income Populations: Consideration was given to the possible negative impacts associated with the implementation of this project on minority and low-income populations, and to avoiding those impacts where practicable.	
		For projects in areas subject to the Coastal Barrier Resources Act: There was communication with the U.S. Fish and Wildlife Service regarding this project’s potential to jeopardize any ecologically sensitive coastal barrier resources. Any recommendations made by the Service were carried out.	
		For projects in coastal communities: There was communication concerning this project with the state’s lead Coastal Zone Management agency regarding the enforcement of the policies of the state’s coastal zone management program in carrying out federally funded or federally authorized construction activities. Any recommendations made by the agency were carried out.	
<p>I certify that the items initialed above are correct to the best of my knowledge.</p> <p>Name (signed):</p>			
<p>Name (printed):</p>			
<p>Title:</p>			<p>Date:</p>

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Community _____ State _____ CID _____

TUC— Bridge or Culvert Projects, Channel Modification, Channel Diversion, Pump Station Improvements, Storm Drain Improvements, or Other Projects

Project Name:
Project or Grant Number:
Project Description (or include as an attachment):

On each row of the table below, initial the box that applies to the TUC project, signifying that the appropriate steps were taken (shaded areas are “not applicable”). Then sign at the end of the certification. More information on these programs can be found in Figure 500-5 in the *CRS Coordinator’s Manual*.

The creditable projects include

- Bridge or culvert projects;
- Channel modification or channel diversion;
- Pump station improvements;
- Storm drain improvements; and
- Other (project not listed in Activity 530, but being considered for CRS credit).

Also see the matrix of the various environmental and historical preservation compliance requirements as they relate to these types of projects. It is available at www.CRSresources.org/500.

Federal Funding	No Federal Funding	Certification Statement for Bridge or Culvert Projects, Channel Modification, Channel Diversion, Pump Station Improvements, Storm Drain Improvements, or Other Projects (TUC)
		All properties marked as “FEMA Funded” on CC-530EHP-1 were included in the project description of the above FEMA-funded project.
For bridge or culvert projects, channel modification, channel diversion, storm drain improvements, pump station improvements, or other projects:		
		State and local requirements: In addition to federal laws, implementing regulations, and executive orders, this project took into consideration the requirements of all state and local environmental and historic preservation laws, ordinances, and permits that applied to this type of project. Communication with the appropriate state agency and/or local government entity took place before project implementation. Any recommendations made by the agency or office were carried out.
		Protection of threatened and endangered species (Endangered Species Act): Consideration was given to the protection and preservation of threatened and/or endangered species (including plants and animals and their habitat) whose existence may have been threatened by the construction activities. Communication took place with the U.S. Fish and Wildlife Service (or the National Marine Fisheries Service if the project is in a coastal area) and the applicable state agencies for state-protected species and/or their habitat. Any recommendations made by the Services or state agencies were carried out.
		Protection of floodplains (E.O. 11988—Floodplain Management): Consideration was given to the project’s long- and short-term adverse impacts that are associated with the occupance and modification of floodplains, and to avoiding direct and indirect support of floodplain development wherever there was a practicable alternative.

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Community _____ State _____ CID _____

Federal Funding	No Federal Funding	<p align="center">Certification Statement for Bridge or Culvert Projects, Channel Modification, Channel Diversion, Pump Station Improvements, Storm Drain Improvements, or Other Projects (cont.)</p>
<p>Bridge or culvert projects, channel modification, channel diversion, storm drain improvements, pump station improvements, or other projects (cont.)</p>		
		<p>E.O. 11990—Protection of Wetlands: Consideration was given to the possible loss or degradation of wetlands associated with the construction of this project, as well as to the preservation and enhancement of the natural and beneficial values of wetlands.</p>
		<p>E.O. 12898—Environmental Justice in Minority and Low-income Populations: Consideration was given to the possible negative impacts associated with the implementation of this project on minority and low-income populations, and to avoiding those impacts where practicable.</p>
		<p>For projects in areas subject to the Coastal Barrier Resources Act: There was communication with the U.S. Fish and Wildlife Service regarding this project’s potential to jeopardize any ecologically sensitive coastal barrier resources. Any recommendations made by the Service were carried out.</p>
		<p>For projects in coastal communities: There was communication concerning this project with the state’s lead coastal zone management agency regarding the enforcement of the policies of the state’s coastal zone management program in carrying out federally funded or federally authorized construction activities. Any recommendations made by the agency were carried out.</p>
<p>In addition to the above, for bridge or culvert projects, storm drain improvements, or other projects:</p>		
		<p>For projects that affected structures 50 years of age or older: (National Historic Preservation Act): If any protected structure affected by this project was 50 years of age or older at the time of the project, communication with the State Historical Preservation Officer or Tribal Historical Preservation Officer (if on tribal land or reservation) took place to determine if the structure was either on or eligible for the National Register of Historic Places (historic property) and to resolve any adverse effect(s) to the historic property.</p>
<p>In addition to the above, for bridge or culvert projects, channel modification, channel diversion, storm drain improvements, or other projects:</p>		
		<p>Archaeological and Historic Preservation Act: The effects of the project on archaeological sites were considered.</p>
<p>In addition to the above, for bridge or culvert projects, channel modification, channel diversion, and other projects:</p>		
		<p>Dredge and fill materials (Clean Water Act, Section 404): Consideration was given to all permit requirements for discharging dredge and fill material into waters of the United States, including wetlands, and communication with the U.S. Army Corps of Engineers took place. Any recommendations made by the Corps were carried out.</p>
		<p>Rivers and Harbors Act, Section 10: Consideration was given to any permit requirements for the project’s impact on navigable waterways. Communication with the U.S. Army Corps of Engineers took place.</p>

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Community _____ State _____ CID _____

Federal Funding	No Federal Funding	Certification Statement for Bridge or Culvert Projects, Channel Modification, Channel Diversion, Pump Station Improvements, Storm Drain Improvements; or Other Projects (cont.)
For bridge or culvert projects, channel modification, channel diversion, and other projects (cont.):		
		Fish and Wildlife Coordination Act: Consideration was given to the potential for this project to result in the control or modification of a natural stream or body of water. Communication with the U.S. Fish and Wildlife Service (or National Marine Fisheries Service if the project is in a coastal area) took place. Any recommendations made by the agencies were carried out.
For pump station improvements, storm drain improvements, and other projects:		
		Point source and non-point source discharge (Clean Water Act, Section 402): Consideration was given to all permit requirements for municipal point source discharge (sewage treatment plant discharge) as well as non-point discharge (surface runoff) of a pollutant into surface waters. Communication with the Environmental Protection Agency or designated state office took place. Any recommendations made by the agencies were carried out.
For channel modification, channel diversion, and other projects:		
		Farmland Protection Policy Act: Consideration was given to the protection of prime and unique farmlands in the construction of this project. Communication with the U.S. Department of Agriculture, Natural Resources Conservation Service took place. Any recommendations made by the Service were carried out.
I certify that the items initialed above are correct to the best of my knowledge. Name (signed):		
Name (printed):		
Title:	Date:	

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Community _____ State _____ CID _____

TUF—Storage Facilities

Project Name:
Project or Grant Number:
Project Description (or include as an attachment):

On each row of the table below, initial the box that applies to the TUF project, signifying that the appropriate steps were taken (shaded areas are “not applicable”). Then sign at the end of the certification. More information on these programs can be found in Figure 500-5 in the *CRS Coordinator’s Manual*.

Federal Funding	No Federal Funding	Certification Statement for Storage Facilities (TUF)
		All properties marked as “FEMA Funded” on CC-530EHP-1 were included in the project description of the above FEMA-funded project.
		State and local requirements: In addition to federal laws, implementing regulations, and executive orders, this project took into consideration the requirements of all state and local environmental and historic preservation laws, ordinances, and permits that applied to this type of project. Communication with the appropriate state agency and/or local government entity took place before project implementation. Any recommendations made by the agency or office were carried out.
		Archeological and Historic Preservation Act): The effects of the project on archaeological sites were considered.
		Protection of threatened and endangered species (Endangered Species Act): Consideration was given to the protection and preservation of threatened and/or endangered species (including plants and animals and their habitat) whose existence may have been threatened by the construction activities. Communication took place with the U.S. Fish and Wildlife Service (or the National Marine Fisheries Service if the project is in a coastal area) and the applicable state agencies for state-protected species and/or their habitat. Any recommendations made by the Services or state agencies were carried out.
		Point source and non-point source discharge (Clean Water Act, Section 402): Consideration was given to all permit requirements for municipal point source discharge (sewage treatment plant discharge) as well as non-point discharge (surface runoff) of a pollutant into surface waters. Communication with the Environmental Protection Agency or designated state office took place. Any recommendations made by the agencies were carried out.
		Dredge and fill materials (Clean Water Act, Section 404): Consideration was given to all permit requirements for discharging dredge and fill materials into waters of the United States, including wetlands, and communication with the U.S. Army Corps of Engineers took place. Any recommendations made by the Corps were carried out.

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Community _____ State _____ CID _____

Federal Funding	No Federal Funding	Certification Statement for Storage Facilities (cont.)
		Farmland Protection Policy Act: Consideration was given to the protection of prime and unique farmlands. Communication with the U.S. Department of Agriculture’s Natural Resources Conservation Service took place. Any recommendations made by the Service were carried out.
		Protection of floodplains (E.O. 11988-Floodplain Management): Consideration was given to the project’s long- and short-term adverse impacts that are associated with the occupance and modification of floodplains, and to avoiding direct and indirect support of floodplain development wherever there was a practicable alternative.
		E.O. 11990—Protection of Wetlands: Consideration was given to the possible loss or degradation of wetlands associated with the construction of this project, as well as to the preservation and enhancement of the natural and beneficial values of wetlands.
		E.O. 12898—Environmental Justice in Minority and Low-income Populations: Consideration was given to the possible negative impacts associated with the implementation of this project on minority and low-income populations, and to avoiding those impacts where practicable.
		For projects in areas subject to the Coastal Barrier Resources Act: There was communication with the U.S. Fish and Wildlife Service regarding this project’s potential to jeopardize any ecologically sensitive coastal barrier resources. Any recommendations made by the Service were carried out.
		For projects in coastal communities: There was communication concerning this project with the state’s lead coastal zone management agency regarding the enforcement of the policies of the state’s coastal zone management program in carrying out federally funded or federally authorized construction activities. Any recommendations made by the agency were carried out.
<p>I certify that the items initialed above are correct to the best of my knowledge.</p> <p>Name (signed):</p>		
<p>Name (printed):</p>		
<p>Title:</p>		<p>Date:</p>